



UNITED STATES PATENT AND TRADEMARK OFFICE

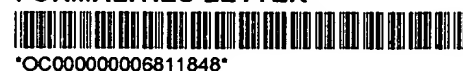
COPY OF PAPERS
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,752	08/24/2001	Armin Amrhein	A34488(071308.0211)

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 4099

FORMALITIES LETTER



OC00000006811848

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

To 1

Customer Service Center

Initial Patent Examination Division (703) 308-1202


PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/06/2002 INQUIRY 1000000000000000

01 FEB 02

1000000000000000

BAKER BOTTS LLP

Please type a plus sign (+) inside this box → 

COPY OF PAPERS
ORIGINALLY FILED



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Numb r	09/938,752
Filing Date	8/24/01
First Named Inventor	Armin Amrhein
Group Art Unit	2171
Examiner Name	not yet known
Attorney Docket Number	A34488 071308.0211

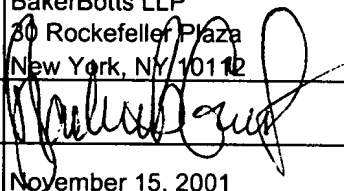
Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|--|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	Att Name: Bradley B. Geist PTO Reg: 27,551
Signature		
Date	November 15, 2001	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed for Commissioner for Patents, Washington, DC 20231 on this date: November 15, 2001

Typed or printed name Bradley B. Geist

Signature

Date November 15, 2001

BAKER BOTTS LLP

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 170

Compleat if Kn wn

Application Number	09/938,752
Filing Date	8/24/01
First Named Inventor	Armin Amrhein
Examiner Name	not yet known
Group Art Unit	2171
Attorney Docket No.	A34488 071308.0211

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																					
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 02-4377</p> <p>Deposit Account Name: Baker Botts LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table><thead><tr><th>Large Entity Fee (\$)</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130</td></tr><tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>2,520</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>400</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>920</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>1,440</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1,960</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1,280</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1,280</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>460</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>620</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>50</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr><tr><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>740</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr></tbody></table> <p>Other fee (specify) _____</p> <p>SubTOTAL (3) (\$ 170</p>		Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath	130	50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for <i>ex parte</i> reexamination		920*	920*	Requesting publication of SIR prior to Examiner action		1,840*	1,840*	Requesting publication of SIR after Examiner action		110	55	Extension for reply within first month		400	200	Extension for reply within second month		920	460	Extension for reply within third month		1,440	720	Extension for reply within fourth month		1,960	980	Extension for reply within fifth month		320	160	Notice of Appeal		320	160	Filing a brief in support of an appeal		280	140	Request for oral hearing		1,510	1,510	Petition to institute a public use proceeding		110	55	Petition to revive - unavoidable		1,280	640	Petition to revive - unintentional		1,280	640	Utility issue fee (or reissue)		460	230	Design issue fee		620	310	Plant issue fee		130	130	Petitions to the Commissioner		50	50	Processing fee under 37 CFR 1.17(q)		180	180	Submission of Information Disclosure Stmt		40	40	Recording each patent assignment per property (times number of properties)	40	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))		740	370	For each additional invention to be examined (37 CFR § 1.129(b))		740	370	Request for Continued Examination (RCE)		900	900	Request for expedited examination of a design application	
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																				
130	65	Surcharge - late filing fee or oath	130																																																																																																																				
50	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																					
130	130	Non-English specification																																																																																																																					
2,520	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																					
920*	920*	Requesting publication of SIR prior to Examiner action																																																																																																																					
1,840*	1,840*	Requesting publication of SIR after Examiner action																																																																																																																					
110	55	Extension for reply within first month																																																																																																																					
400	200	Extension for reply within second month																																																																																																																					
920	460	Extension for reply within third month																																																																																																																					
1,440	720	Extension for reply within fourth month																																																																																																																					
1,960	980	Extension for reply within fifth month																																																																																																																					
320	160	Notice of Appeal																																																																																																																					
320	160	Filing a brief in support of an appeal																																																																																																																					
280	140	Request for oral hearing																																																																																																																					
1,510	1,510	Petition to institute a public use proceeding																																																																																																																					
110	55	Petition to revive - unavoidable																																																																																																																					
1,280	640	Petition to revive - unintentional																																																																																																																					
1,280	640	Utility issue fee (or reissue)																																																																																																																					
460	230	Design issue fee																																																																																																																					
620	310	Plant issue fee																																																																																																																					
130	130	Petitions to the Commissioner																																																																																																																					
50	50	Processing fee under 37 CFR 1.17(q)																																																																																																																					
180	180	Submission of Information Disclosure Stmt																																																																																																																					
40	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																				
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																					
740	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																					
740	370	Request for Continued Examination (RCE)																																																																																																																					
900	900	Request for expedited examination of a design application																																																																																																																					
<p>1. BASIC FILING FEE</p> <table><thead><tr><th>Large Entity Fee (\$)</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>740</td><td>370</td><td>Utility filing fee</td><td></td></tr><tr><td>330</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>510</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>740</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>160</td><td>80</td><td>Provisional filing fee</td><td></td></tr></tbody></table> <p>SUBTOTAL (1) (\$ 0</p> <p>2. EXTRA CLAIM FEES</p> <table><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>20**</td><td>0</td><td>0</td><td>0</td></tr><tr><td>3**</td><td>0</td><td>0</td><td>0</td></tr></tbody></table> <p>Large Entity Small Entity</p> <table><thead><tr><th>Large Entity Fee (\$)</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>18</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>84</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>280</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>84</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>18</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr></tbody></table> <p>SUBTOTAL (2) (\$ 0</p>		Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	740	370	Utility filing fee		330	165	Design filing fee		510	255	Plant filing fee		740	370	Reissue filing fee		160	80	Provisional filing fee		Total Claims	Extra Claims	Fee from below	Fee Paid	20**	0	0	0	3**	0	0	0	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	18	9	Claims in excess of 20		84	42	Independent claims in excess of 3		280	140	Multiple dependent claim, if not paid		84	42	** Reissue independent claims over original patent		18	9	** Reissue claims in excess of 20 and over original patent																																																											
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																				
740	370	Utility filing fee																																																																																																																					
330	165	Design filing fee																																																																																																																					
510	255	Plant filing fee																																																																																																																					
740	370	Reissue filing fee																																																																																																																					
160	80	Provisional filing fee																																																																																																																					
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																				
20**	0	0	0																																																																																																																				
3**	0	0	0																																																																																																																				
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																				
18	9	Claims in excess of 20																																																																																																																					
84	42	Independent claims in excess of 3																																																																																																																					
280	140	Multiple dependent claim, if not paid																																																																																																																					
84	42	** Reissue independent claims over original patent																																																																																																																					
18	9	** Reissue claims in excess of 20 and over original patent																																																																																																																					

**for number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Bradley B. Geist	Registration No. (Attorney/Agent)	27,551
Signature		Telephone	
		Date	November 15, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

BAKER BOTTS LLP

Attorney Docket Number: A34488 071308.0211

Title: METHOD OF OPERATING AN INDUSTRIAL CONTROLLER



Use Space Below for Additional Information: